Original Article
Cetuximab combined with chemotherapy is beneficial for patients with advanced non-small cell lung cancer after EGFR-tyrosine kinase inhibitors failure

Feng Zhang1*, You Yu2*, Lina Xing1, Min Chen1

1Department of Radiation Oncology, The Second Affiliated Hospital, Harbin Medical University, Harbin, China; 2Department of Pediatric Surgery, The First Affiliated Hospital, Harbin Medical University, Harbin, China. *Equal contributors.

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Abstract: To determine if cetuximab combined with chemotherapy is beneficial for patients with advanced NSCLC after failure of first-line chemotherapy and EGFR-tyrosine kinase inhibitors (TKI). Twenty patients were treated with cetuximab in combination with pemetrexed, and 14 patients were treated with cetuximab in combination with docetaxel. Short-term response rates and long-term survival after salvage therapy were evaluated. Partial response (PR) occurred in 4 patients, stable disease (SD) occurred in 13 patients, and progressive disease (PD) occurred in 17 patients. No patient achieved a complete response (CR). The objective response rate (ORR) was 11.8% (4/34) and the disease control rate (DCR) was 50.0% (17/34). The disease progression rate (DPR) was 50% (17/34). Further analyses showed that the DCR was significantly higher in patients treated with EGFR-TKI for ≥6 months compared to patients treated with EGFR-TKI for <6 months (P=0.031). The median follow-up time was 5.5 months. The median progression-free survival (PFS) was 4.1 months. PFS was significantly longer in patients treated with EGFR-TKI for ≥6 months compared to those treated <6 months with EGFR-TKI (5.9 vs. 3.0 months; P=0.004). In general, however, patients tolerated this therapy well and there were no therapy-related deaths. As a salvage therapy, cetuximab combined with chemotherapy is indeed beneficial for patients with advanced NSCLC after first-line chemotherapy and subsequent EGFR-TKI treatment failure. In particular, this salvage regimen is beneficial for patients who were treated with EGFR-TKI for ≥6 months, and is well tolerated in these patients.

Keywords: Lung cancer, cetuximab, chemotherapy, salvage therapy, EGFR

Introduction
Non-small cell lung cancer (NSCLC) accounts for 80%-85% of newly diagnosed lung cancers. Approximately 65% of NSCLC patients are in advanced stages at the time of diagnosis, and therefore, the opportunity for surgical resection is lost [1-3]. Epidermal growth factor receptor (EGFR)-targeted therapy has been widely used to treated lung cancer and has achieved measurable effects in these patients [4-6]. EGFR is a 170 kDa transmembrane protein that is widely expressed in many malignancies, including lung, colon, and breast cancers [7-11]. EGFR signaling is one of the most studied pathways in cancer progression. Phosphorylation of EGFR leads to activation of its downstream target genes. These genes are involved in a variety of cellular processes, including promotion of cell proliferation, angiogenesis induction, and apoptosis inhibition [12-14].

EGFR tyrosine kinase inhibitors (EGFR-TKI) are a group of inhibitors that target EGFR tyrosine kinase activity. Gefitinib and erlotinib are two widely used EGFR-TKIs. These inhibitors are selective EGFR tyrosine kinase inhibitors and have specific effects on cancer cell growth, metastasis, and angiogenesis by blocking EGFR tyrosine kinase phosphorylation [15-18]. A variety of cancer treatment guidelines have recommended EGFR-TKI therapy as first-line, second-line, or third-line agents to treat NSCLC and to maintain chemotherapy. Most advanced NSCLC patients receive EGFR-TKI therapy at certain stages during the disease process. Acquired
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Resistance to EGFR-TKI therapy is common during the treatment course [19-21]. Subsequent treatment in patients with advanced NSCLC after acquiring EGFR-TKI resistance is a significant concern.

Currently, antibody therapy combined with chemotherapy is an important alternative treatment strategy for cancer patients. It is becoming more widely accepted, particularly as a salvage therapy for those patients resisting first-line chemotherapy. Cetuximab (Erbitux) is the first approved monoclonal antibody (IgG1) against EGFR. It binds to the EGFR ligand and blocks downstream pathways of EGFR signal transduction, thereby inhibiting cancer cell proliferation and growth [22-24]. The combination of cetuximab and chemotherapeutic agents to treat stage I-II NSCLC after failed EGFR-TKI treatment has been reported, and cetuximab can sensitize early stage NSCLC to subsequent chemotherapy [7, 25]. However, whether a similar outcome occurs in EGFR-TKI-resistant advanced NSCLC is not yet known.

The primary objective of this study was to determine if salvage therapy based on combining cetuximab with chemotherapeutic agents can improve the therapeutic efficacy in patients with advanced NSCLC after resistance to initial first-line chemotherapy and subsequent EGFR-TKI treatments. For this purpose, we conducted a retrospective study in 34 patients with advanced NSCLC whose first-line platinum-based chemotherapy and EGFR-TKI failed.

Materials and methods

Eligibility criteria

For inclusion in this study, all patients satisfied the following criteria: 1) Pathologically confirmed advanced NSCLC according to the 2009 International Association for the Study of Lung Cancer staging system [26]; 2) Refractory to first-line standard chemotherapy containing platinum and resistant to subsequent erlotinib or gefitinib treatment; 3) An Eastern Cooperative Oncology Group (ECOG) performance status score of ≤2 [27]; 4) Predicted survival time was longer than three months; and (5) Normal bone marrow, liver, and kidney function. A total of 34 patients was collected from March 2007 to May 2013. This study and all treatments were conducted in accordance with the guidelines of Clinical Research Ethics Board at our hospital. Written informed consent was obtained from all patients before the inclusion in the study. Our hospital approved this study.

Study design

All patients received salvage therapy. Salvage treatment consisted of cetuximab combined with either pemetrexed (regimen A) or docetaxel (regimen B). An initial loading dose of cetuximab at 400 mg/m² was administered over 2 hours. Cetuximab was intravenously injected weekly at a dose of 250 mg/m². The delivery speed did not exceed 5 ml/min. In addition to cetuximab, 20 patients (58.8%) in regimen A were concurrently given 500 mg/m² pemetrexed on day 1, continuing once every 3 weeks. Fourteen patients (41.2%) in regimen B were concurrently given 75 mg/m² docetaxel on day 1, continuing once every 3 weeks. Standard premedications consisted of 0.4 g cimetidine, 5 mg diphenhydramine, and 5 mg dexamethasone. Patients participated in the study until the disease progressed, unacceptable toxicity, death, or withdrawal of consent. Two patients experienced disease progression after one treatment cycle. The remaining 32 patients were treated for at least two cycles. The median number of treatment cycles was three cycles (1-6 cycles).

Patient baseline information

Baseline clinical and pathological characteristics of patients were collected, including sex, age, pathological classification, tumor stage, smoking status, PS score, first-line chemotherapy, and duration of EGFR-TKI treatment. Additionally, physical examinations, weight measurements, hematologic and chemistry tests, urinalyses, electrocardiograms, and Eastern Cooperative Oncology Group (ECOG) performance status were assessed at baseline. Imaging studies (computed tomography or magnetic resonance imaging) were performed at baseline and every 6 weeks or longer.

Treatment assessments

The lung cancer remission rate (RR) was assessed according to a modified version of the Response Evaluation Criteria in Solid Tumors...
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**Table 1.** Baseline characteristics of the study population

<table>
<thead>
<tr>
<th>Parameters</th>
<th>No. of patients</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Sex</strong></td>
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</tr>
<tr>
<td>Male</td>
<td>23</td>
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</tr>
<tr>
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<tr>
<td>0-1</td>
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<td>64.7</td>
</tr>
<tr>
<td>2</td>
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<td>35.3</td>
</tr>
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<tr>
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<td>17.6</td>
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<td></td>
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<tr>
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<td></td>
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<tr>
<td>Gefitinib</td>
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<td>55.9</td>
</tr>
<tr>
<td>Erlotinib</td>
<td>15</td>
<td>44.1</td>
</tr>
<tr>
<td><strong>EGFR-TKI preference</strong></td>
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<td></td>
</tr>
<tr>
<td>Second-line</td>
<td>18</td>
<td>52</td>
</tr>
<tr>
<td>Above second-line</td>
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<tr>
<td>&lt;6 Months</td>
<td>22</td>
<td>64.7</td>
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</table>

Note: EGFR-TKI, epidermal growth factor receptor tyrosine kinase inhibitor.

1.0 guidelines [28], and were divided into complete remission (complete response, CR), partial remission (partial response, PR), stable (stable disease, SD), and progression (progression disease, PD). Duration of response was defined as meeting time measurement criteria for CR/PR until the date of PD or death. Patients who were alive and without progression were censored at the day of their last tumor assessments. Objective response rate (objective response rate, ORR) refers to the percentage of CR+PR in patients. Disease control rate (disease control rate, DCR) refers to the percentage of CR+PR+SD in patients. Progression free survival (PFS) is defined as the time from randomization until the date of PD or death from any cause. Overall survival (OS) is defined as the time from randomization to death. During the study, weekly evaluations included vital sign measurements and toxicity evaluations [classified by the Medical Dictionary for Regulatory Activities and graded by the National Cancer Institute (NCI) Common Terminology Criteria for Adverse Events version 3.0].

**Statistical analyses**

SPSS 15.0 statistical software was used to analyze all data in this study. P<0.05 is considered statistically significant. The participating investigators determined PFS. Adverse events were assessed according to the NCI Common Terminology Criteria for Adverse Events (CTCAE) version 3.0. Only events that were reported as possibly, probably, or definitely related to treatment protocols were included in these analyses. Progression-free survival was estimated using the Kaplan-Meier product-limit method [29].

**Results**

**Patient characteristics**

A total of 34 patients participated in this retrospective study. The majority of patients were male (67.6%), were treated with EGFR-TKI for less than 6 months (64.7%), had PS scores of 0-1 (64.7%), and had histological diagnoses of adenocarcinoma (70.6%). The percentage of patients who smoked (either currently or in the past) was similar to patients who did not smoke or never smoked (41.2% vs. 58.8%). EGFR-TKI was given as second-line treatment in 52% of patients, and as first-line treatment in 48% of patients. The majority of patients (55.9%) received gefitinib treatment, whereas 44.1% of patients received erlotinib treatment. Baseline clinical and pathological characteristics are presented in **Table 1**.

**Responses to salvage therapy**

Short-term tumor responses to salvage therapy were evaluated in all patients. We observed PR in 4 cases, SD in 13 cases, PD in 17 cases, and CR was not recorded in any patient. The ORR was 11.8% (4/34 patients) and DCR was 50.0% (17/34 patients). The disease progression rate was 50% (17/34 patients). Further analyses showed that the DCR was significantly higher in patients that were treated with EGFR-TKI for ≥6 months compared to patients who were treated with EGFR-TKI for <6 months (P=0.031). ORR and DCR were not significantly different in patients with different sex, PS scores, or chemotherapeutic regimens (P=0.05) (**Table 2**).
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Survival after salvage therapy

The median follow-up period was 5.5 months (2.0-20 months) in all patients. The median PFS was 4.1±0.5 months (range, 3.1 to 5.1 months). The overall PFS curve for all patients is shown in Figure 1A. Further analyses showed that the median PFS was 5.9 months in patients treated with EGFR-TKI for ≥ 6 months compared to 3 months in patients treated with EGFR-TKI for <6 months, which was a statistically significant difference ($P=0.004$; Figure 1B). There were no significant differences among patients with different sex (4.0 months in males vs. 4.1 months in female), PS scores (4.0 months in patients with 0-1 scores vs. 4.1 months in patients with 2 scores), or regimens (4.2 months for regimen A patients vs. 3.8 months for regimen B patients) ($P>0.05$; Figure 1C-E).

Adverse reactions

Adverse reactions to salvage therapy were evaluated in all patients. The predominant symptom was bone marrow suppression. Twenty patients who received regimen A received concurrent pemetrexed treatment. Pemetrexed was reduced from an original dose of 500 mg/m$^2$ to 375 mg/m$^2$ in one patient due to grade 3 neutropenia and grade 4 thrombocytopenia. Fourteen patients who received the group B regimen received the concurrent docetaxel treatment. One patient had grade 3 peripheral neuropathy, and two patients were given blood transfusions to increase their red blood cell counts due to anemia. Docetaxel was reduced from 75 mg/m$^2$ to 60 mg/m$^2$ in three patients due to grade 3 and 4 neutropenia. No chemotherapy-related deaths occurred in this study (Table 3).

Discussion

The present study shows that salvage therapy using cetuximab in combination with the chemotherapeutic agents pemetrexed or docetaxel improved overall response rates and prolonged survival in patients with advanced NSCLC after resistance to chemotherapy and EGFR-TKI treatment. Our data suggest that salvage therapy is beneficial for these patients, particularly for patients who were treated with EGFR-TKI for longer than 6 months. This is likely related to changes in the molecular behavior of the tumor induced by prior EGFR-TKI treatment.

To study the efficacy of cetuximab in combination with different chemotherapeutic agents, we compared the responses of patients to two regimens: cetuximab combined with pemetrexed or cetuximab combined with docetaxel. We found that ORR and PFS are similar among these patients. This result suggests that cetuximab combined with either pemetrexed or docetaxel can achieve similar improved response rates in patients with advanced NSCLC. Clinically, the PS score is considered a good marker for predicting chemotherapy efficacy [30]. However, the present study showed that ORR and PFS were similar among patients with different PS scores. Therefore, the PS score was not a meaningful predictor in our study. This finding may require further validation due to the small number of patients enrolled in this study.

### Table 2. Efficacy of salvage therapy in 34 patients

<table>
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<tr>
<th></th>
<th>Cases</th>
<th>PR</th>
<th>SD</th>
<th>PD</th>
<th>ORR %</th>
<th>$\chi^2$</th>
<th>P</th>
<th>DCR %</th>
<th>$\chi^2$</th>
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</tr>
<tr>
<td>Men</td>
<td>23</td>
<td>3</td>
<td>8</td>
<td>12</td>
<td>13.0</td>
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<td>0.738</td>
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<td>5</td>
<td>5</td>
<td>9.1</td>
<td>54.5</td>
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<td>13.6</td>
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<td>0.647</td>
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<td>3</td>
<td>8</td>
<td>8.3</td>
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<tr>
<td>≥6</td>
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<td>3</td>
<td>25.0</td>
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<td>75.0</td>
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<td>&lt;6</td>
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<td>4.5</td>
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<tr>
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<td></td>
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<tr>
<td>A</td>
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<td>9</td>
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<td>8</td>
<td>14.3</td>
<td>42.9</td>
<td></td>
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</table>

Note: No., number of patients. EGFR-TKI, epidermal growth factor receptor tyrosine kinase inhibitor. PR: Partial response, SD: stable disease, PD: progressive disease, CR: complete response, ORR: objective response rate, DCR: disease control rate.
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**Figure 1.** Progression-free survival (PFS) in 34 patients with advanced non-small cell lung cancer (NSCLC) after salvage therapy following failed first-line chemotherapy and EGFR-TKI therapy. Salvage therapy included a combination of cetuximab with either pemetrexed (regimen A) or docetaxel (regimen B). A. Overall PFS in 34 patients. The median follow-up time was 5.5 months. The median PFS was 4.147±0.494 months. B. PFS comparisons in patients with initial EGFR-TKI treatment times longer than six months versus shorter than six months. EGFR-TKI treatment times shorter than six months correlated with inferior PFS (P=0.004). ≥, EGFR-TKI treatment time ≥6 months; <6, GFR-TKI treatment time <6 months. C. PFS comparisons in patients with PS scores of 0-1 and 2 after salvage therapy. PFS did not differ between patients with different PS scores (P=0.931). PS0-1, PS score of 0-1; PS2, PS score of 2. D. PFS comparisons between male and female patients with advanced NSCLC after salvage therapy. PFS in males was similar to that in females (P=0.833). f, female; m, male. E. PFS comparisons in patients treated with different salvage regimens. PFS of patients treated with cetuximab combined with pemetrexed (regimen A) is similar to that of patients treated with cetuximab combined with docetaxel (regimen B) (P=0.980). (A. regimen A, B. regimen B).
Salvage therapy in advanced lung cancer patients with prior treatment failure is becoming a promising alternative. In 2007, Cho et al. [31] used erlotinib as a rescue therapy in patients after gefitinib failure. Since then, a number of similar studies have been reported. These studies suggest that after the initial gefitinib failure, erlotinib applications were able to increase the sensitivity of cancer cells to subsequent chemotherapy and improve the overall remission rate in patients [32-36]. However, some studies had opposing findings, and reported that erlotinib treatment failed to improve the remission rate in these patients [32, 37].

Currently, the focus of salvage therapy after failed EGFR-TKI treatment has shifted to broader areas; for example, the application of irreversible EGFR-TKI, multi-targeted tyrosine kinase inhibitors, c-Met amplification inhibitors, and T790M mutation inhibitors. Kim et al. [38] reported that 31% of patients received docetaxel chemotherapy and 15% of patients received other chemotherapy after gefitinib failure. Despite the fact that increasing numbers of patients are receiving salvage therapy after first-line chemotherapy fails, few comprehensive studies have evaluated the efficacy of salvage therapy after EGFR-TKI failure. In addition, there are no standard guidelines to advise second-line or third-line chemotherapies in these drug-resistant patients. Wu et al. [39] conducted a retrospective study in 195 patients with advanced NSCLC after gefitinib first-line treatment failure. The follow-up data showed that there was better efficacy using platinum-based agents or paclitaxel as second-line chemotherapy. Chang et al. [40] reported that pemetrexed was given as a third- or fourth-line agent to 110 patients with advanced NSCLC. These authors found the ORR was 16.3%, SD was 37.3%, PFS was 3.2 months, and overall survival (OS) was 11.6 months.

In vitro studies have shown that EGFR-TKI combined with the anti-EGFR monoclonal antibody cetuximab synergistically affects cancer cells after the failure of EGFR-TKI [41]. This is likely due to enhanced downregulation of key enzymes controlling EGFR signaling. Some studies reported different conclusions. Jianjigian et al. [41] reported that cetuximab combined with EGFR-TKI was not beneficial to patients with advanced lung adenocarcinoma after prior EGFR-TKI treatment failure. Our present study showed that cetuximab combined with chemotherapy is beneficial to patients with advanced NSCLC after the first-line EGFR-TKI treatment failure. The achieved ORR and PFS in our study are consistent with previous salvage therapy reports [40, 42].

The current data found that the predominant adverse effect of salvage therapy was bone marrow suppression. Other minor side effects included anemia, thrombocytopenia, fever, and peripheral neuropathy. Chemotherapy-related death did not occur in this study. Therefore, our data suggest that cetuximab combined with either pemetrexed or docetaxel is well tolerated by these patients.

In conclusion, cetuximab combined with chemotherapy is beneficial as a salvage therapy for patients with advanced NSCLC after first-line chemotherapy and EGFR-TKI treatment failure. Salvage therapy is particularly beneficial for patients who were treated with EGFR-TKI ≥6 months.

Disclosure of conflict of interest

None.
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Address correspondence to: Dr. Lina Xing, Department of Radiation Oncology, The Second Affiliated Hospital, Harbin Medical University, Harbin 150000, China. Tel: +86-13904659059; E-mail: lina_xing@yeah.net

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